



UNIVERSITY OF OREGON

Sponsored Projects Services

Annual Report - Fiscal Year 2016

07/01/2015 – 06/30/2016

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Executive Summary

Proposals

In FY16, 1136 proposals were submitted requesting a total \$157.1M in first-year funding, a 11% increase over FY15 count (1018). Of the 1136 proposals submitted, approximately 85% (965) were for new funding for the University and 62% (704) were for federal/federal flow through sponsors.

Awards

The University of Oregon (UO) was awarded \$116.9M from external funding sources in grants, contracts and other awards for FY16, a slight increase compared to FY15 (\$114.6M). Of the \$116.9M received, \$74.1M was in direct federal funding; \$24.5 M in federal flow-through funding; and \$18.3 M in non-federal funding. This included 536 new awards received by 270 Principal Investigators (PIs) for a total of 989 active awards amongst 373 PIs during FY16.

Expenditures

Expenditures on 989 active grants, contracts, and other awards during FY16 totaled \$106.5M, including both direct costs and facilities and administrative cost. FY16 sponsored expenditures decreased by 2% (\$2.5M) compared to FY15 (\$109M). Organized Research accounted for 67% of the total sponsored expenditures in FY16, while Sponsored Instruction accounted for 6% and Other Sponsored activities accounted for 27%. Since grant periods do not generally correspond to our fiscal year, expenditure totals cannot be directly correlated to award totals for the same fiscal year.

Facilities and Administrative Costs

Revenue from the federal government and other agencies to recover already expended university Facilities and Administrative (F&A) costs totaled \$20.5M in FY16. Although the federally negotiated F&A rate budgeted for on-campus organized research activities increased to 46.5% starting FY17, several sponsors or programs either cap or disallow F&A preventing the university from fully recovering the revenue against already expended university costs. The effective F&A recovery rate was 23.8% an increase compared to FY15 (23.1%).

F&A costs recovered in FY16, together with some ICC fund reserves, were allocated for FY17 expenditures in many areas. These allocations yielded the following distribution:

- Utilities 13.5%
- Faculty Support* 42.56%
- Central Research Administration 21.99%
- Unit Administration (formulaic return based on sponsored activity) 21.95%

**Faculty Support includes capital construction expenditures, start-up costs, retention costs, core research facilities, support for the library and support of programs and activities related to research, scholarship and creative inquiry.*

Proposals

Requested Funding Total for Submitted Proposals: **\$157,099,060**

Count of Submitted Proposals: **1136**

Figure 1: Requested Funding by Proposal Submission Type Count FY16

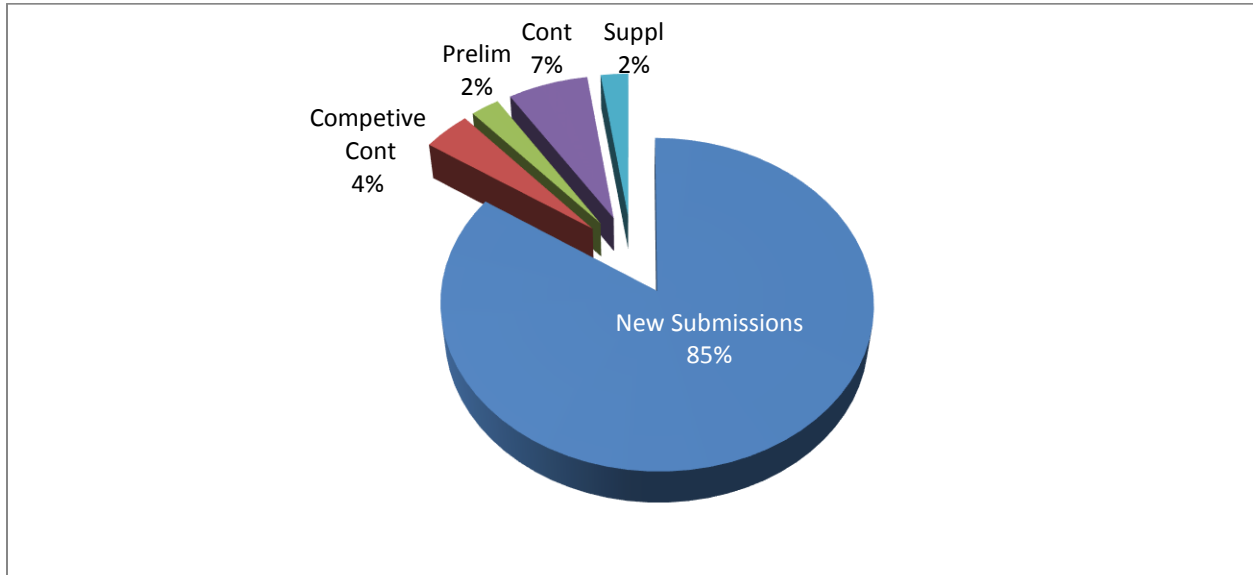


Figure 1 shows FY16 requested funding broken down by proposal submission type

Figure 2: Proposal Submission by Sponsor Type Count FY16

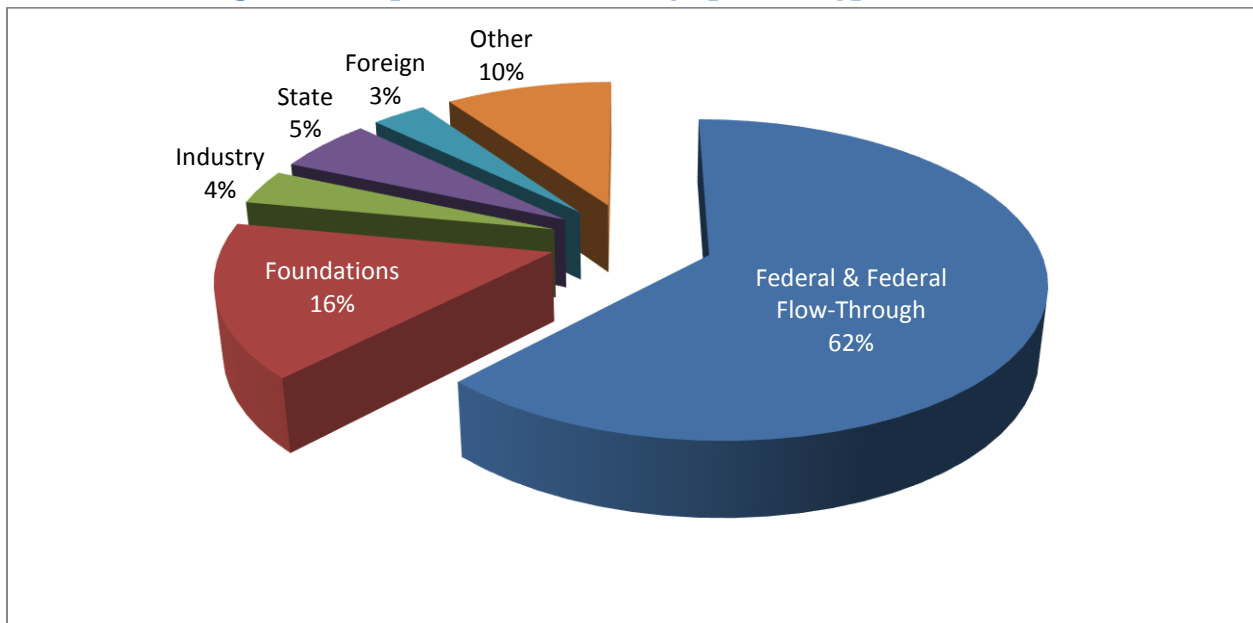


Figure 2 shows the percentage by number of proposals submitted by sponsor type

Proposals

Figure 3: Proposal Submission Funding Requests by Sponsor Type Amount FY16

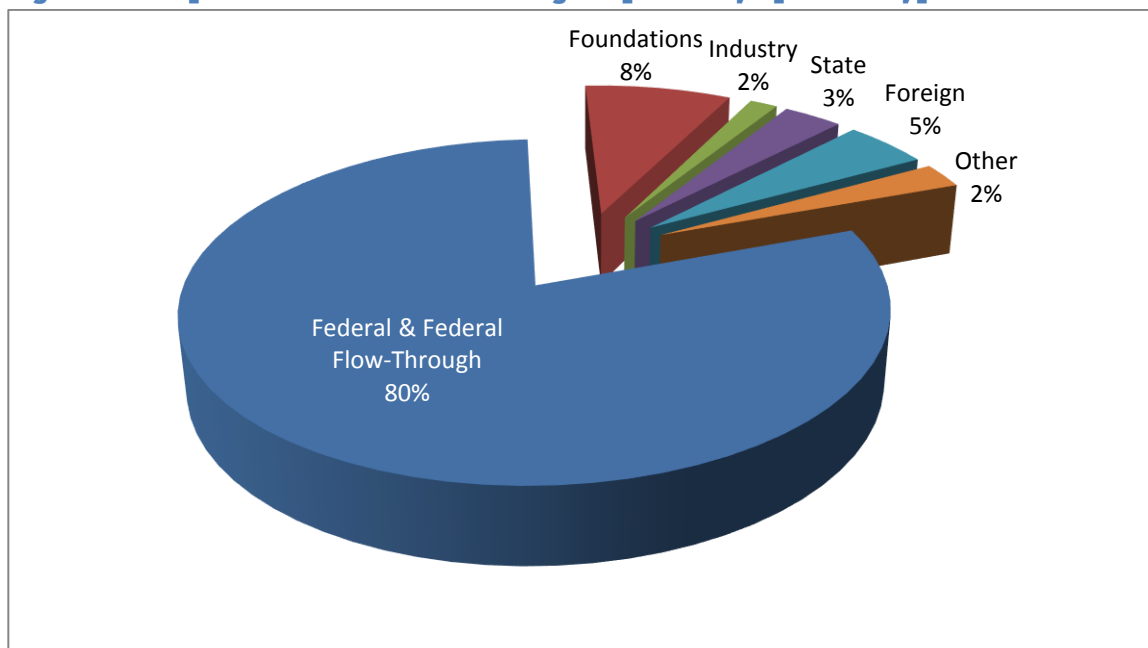


Figure 3 shows the proposal funding requests by sponsor type based on amount requested

Figure 4: Proposal Submission Funding Requests by Amount- Federal Detail FY16

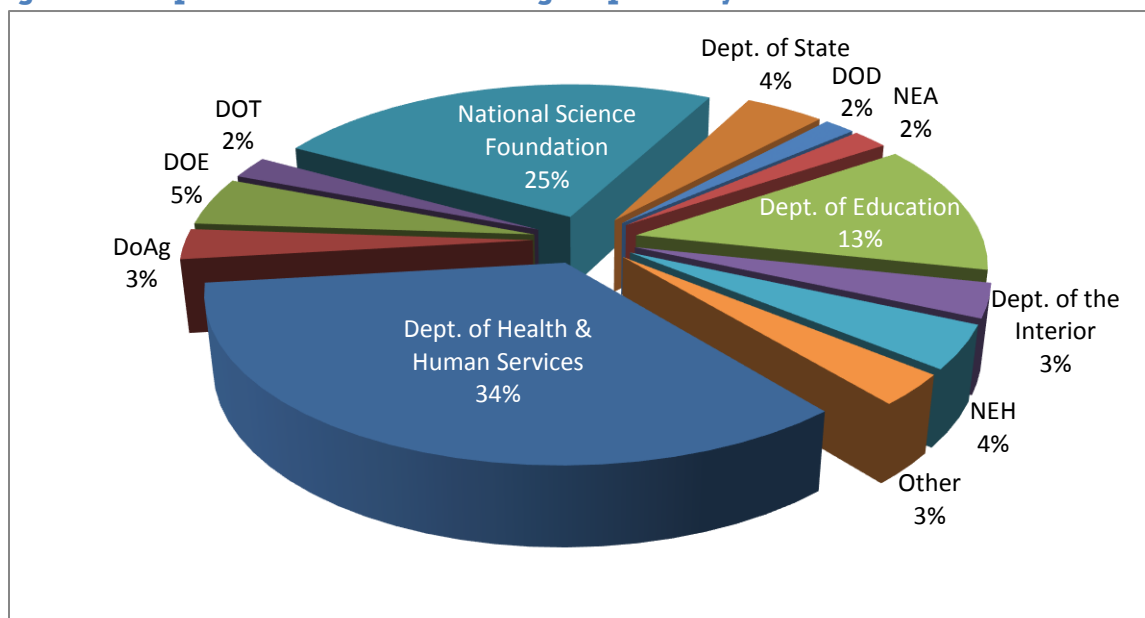


Figure 4 provides additional detail on funding requests by federal agency based on amount. *Note: For multiyear submissions, only the funding requested for the first year of support is included*

Proposals

Figure 5: Proposal Submissions Counts Across Fiscal Years

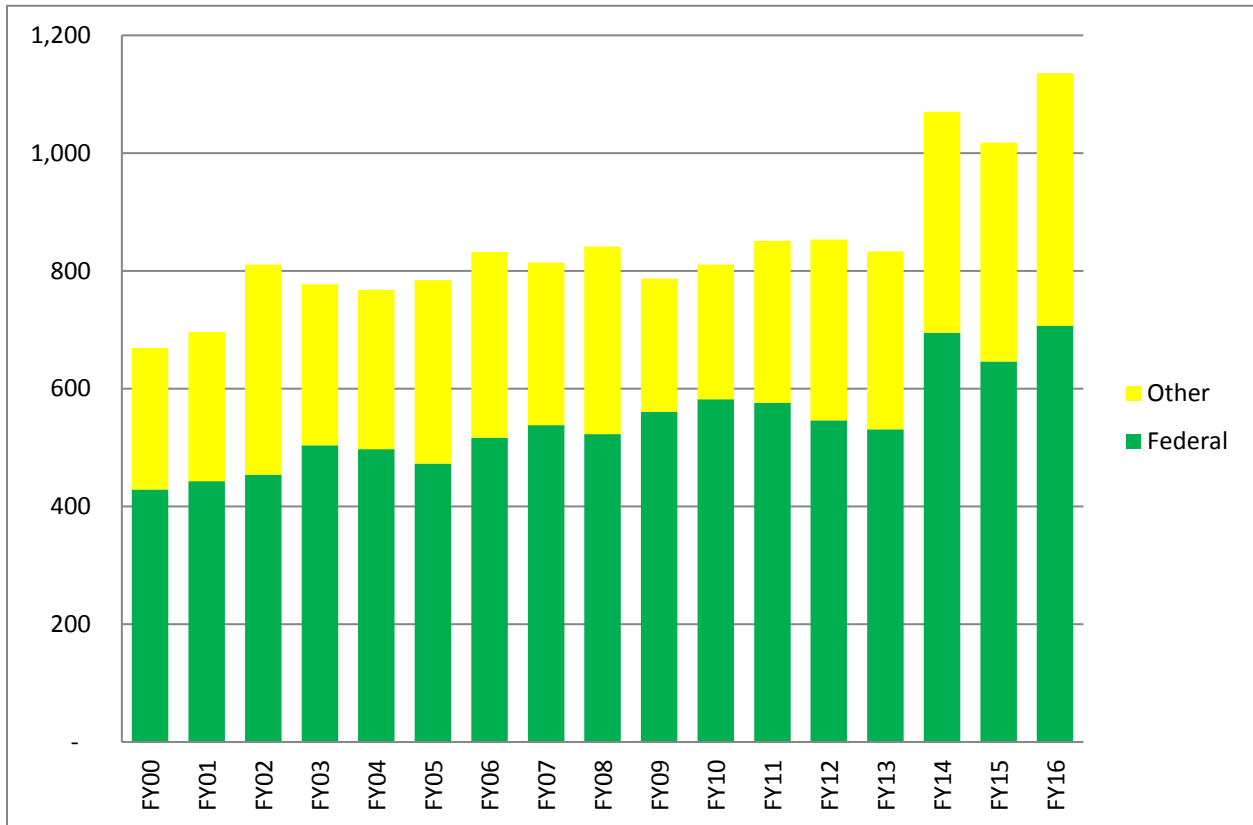


Figure 5 provides a multi year summary of the number of UO proposal submissions

Awards

Total FY16 Funding Awarded: **\$116,950,612**

Figure 6: Total Funds Awarded by Sponsor Type

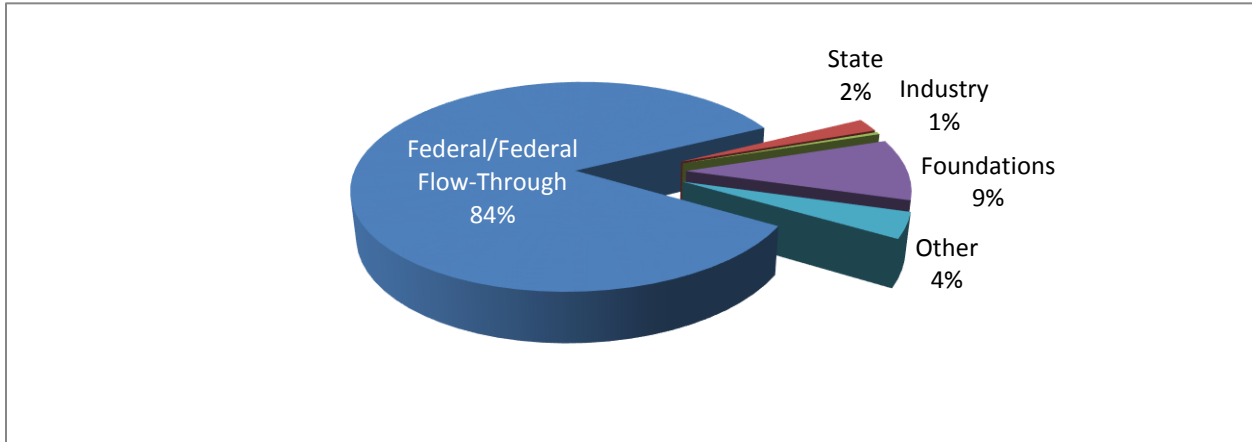


Figure 6 shows total funds awarded to UO in FY16 by sponsor type. Of the 84% federal/federal flow-through, 63% was direct federal funding and 21% was federal flow-through

Figure 7: Federal Funding Amounts by Agency

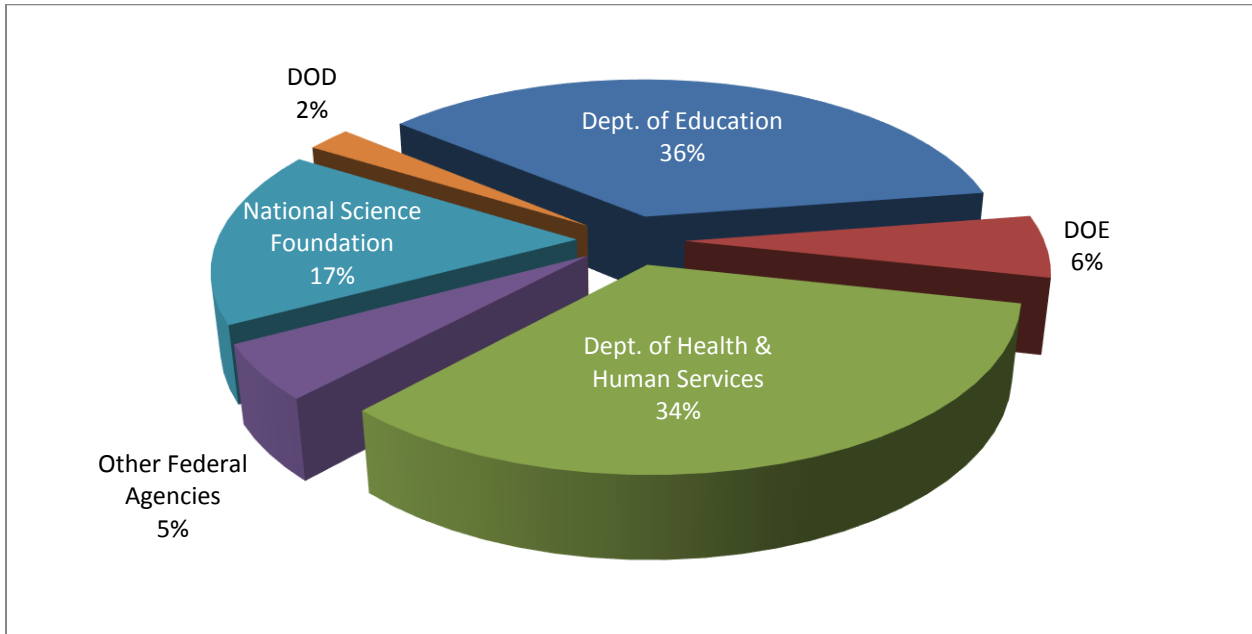


Figure 7 shows the detailed breakdown of federal funding, including federal flow-through funds

Awards

Table 1: Total Funds Awarded By Year by Sponsor Type

Fiscal Year	Total Funding Received	Federal/ Flow-Through	State	Industry	Foundation/ Association	Other
FY07	\$ 85,293,657	77,689,063	1,561,807	746,644	2,668,878	2,627,265
FY08	\$ 115,285,648	101,060,427	3,344,680	902,609	5,474,905	4,503,027
FY09	\$ 100,516,110	87,968,252	2,254,021	808,177	6,100,713	3,384,947
FY10	\$ 135,588,604	125,382,063	2,526,126	645,658	4,532,876	2,501,881
FY11	\$ 110,161,835	98,476,259	1,294,247	789,017	7,038,469	2,563,844
FY12	\$ 110,631,365	96,985,189	1,916,272	4,025,266	5,030,442	2,674,195
FY13	\$ 97,622,652	86,446,107	1,106,013	1,765,868	3,716,527	4,588,137
FY14	\$ 110,281,412	97,337,525	2,299,615	2,208,409	4,023,606	4,412,256
FY15	\$ 114,634,756	103,452,321	3,986,892	578,045	3,632,107	2,985,392
FY16	\$ 116,950,612	98,585,848	2,387,054	445,822	10,954,341	4,577,547

Figure 8: Total Funds Awarded by Year by Sponsor Type (graph)

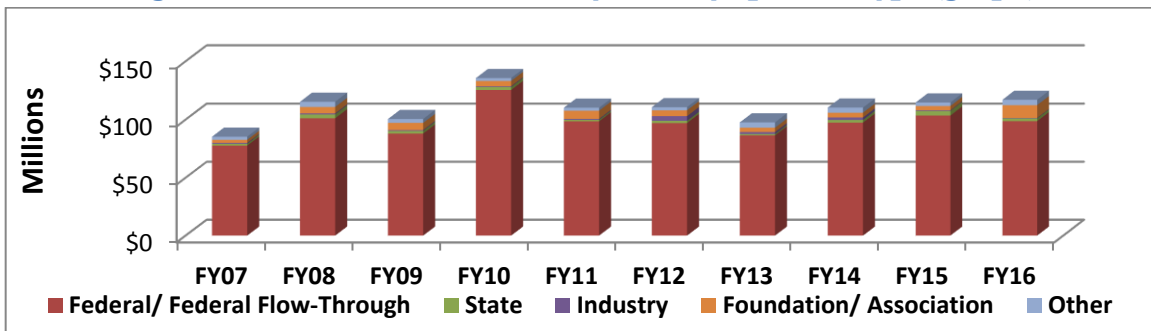


Figure 9: Total Sponsored Funding Awarded (ARRA Detail)

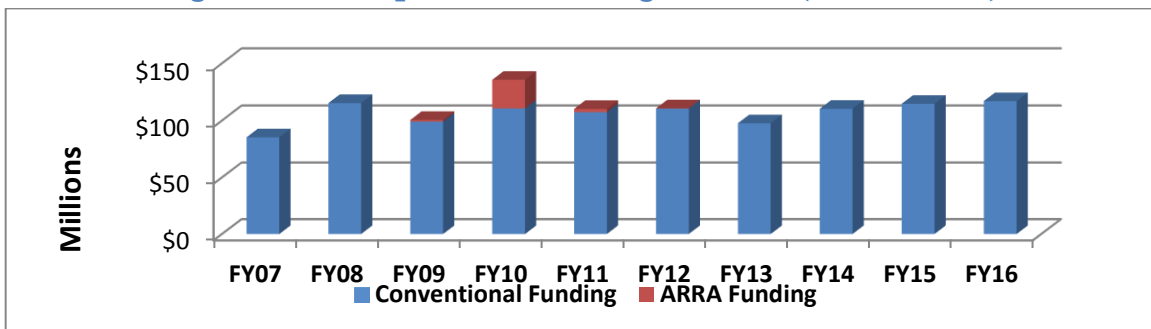


Table 1 (top) and Figure 8 (middle) provide a multi- year summary of sponsored support awarded to UO by Sponsor Type. Figure 9 (above) illustrates the multi- year summary, highlighting the impact of ARRA funding (active FY09-FY14) on awarded funding

Awards

Figure 10: Sponsored Awards Received by Activity Type FY16

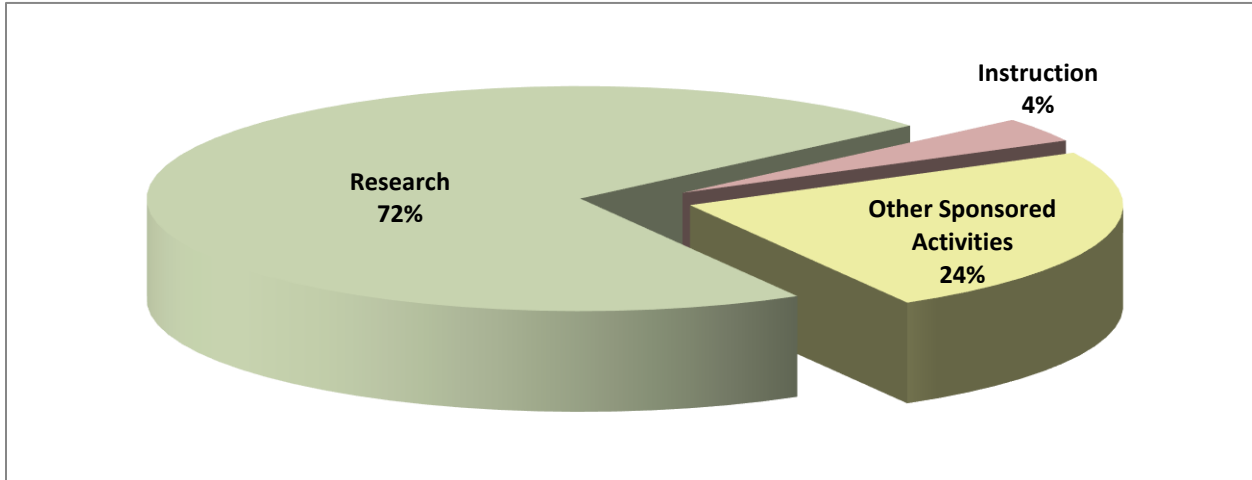


Figure 10 shows the percentage of total funds awarded in FY16 by activity type

Figure 11: Total Awards Received By Year by Activity Type FY16

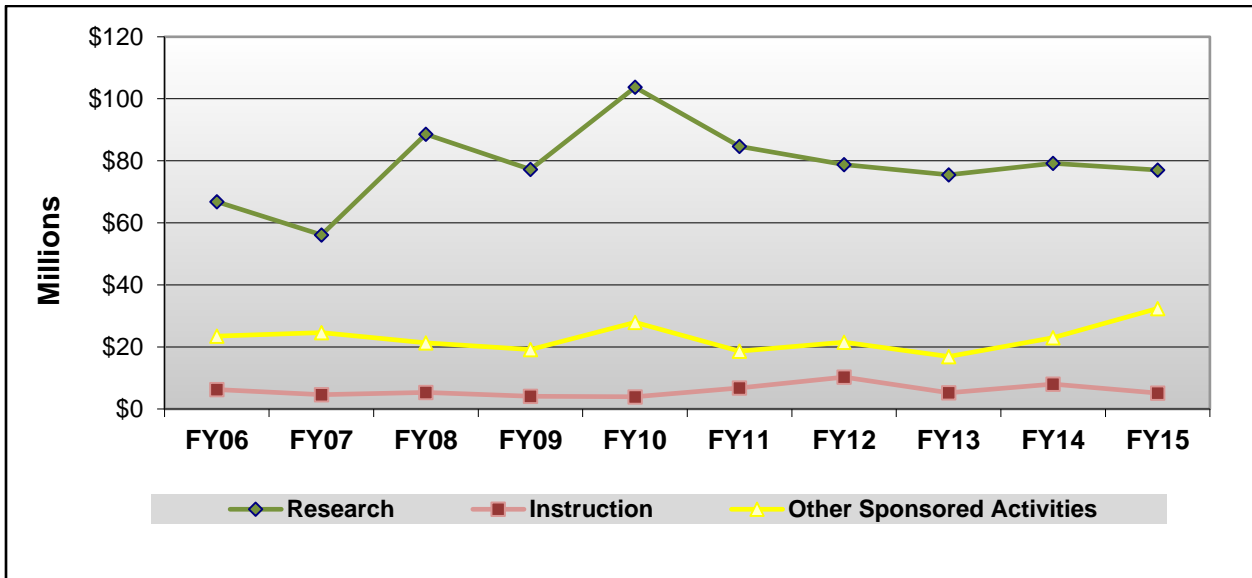


Figure 11 provides a multi- year illustration of incoming awards by UO Activity Type: Research, Instruction, and Other Sponsored Activities

Awards

Figure 12: Awards Received by PI's Home College FY16

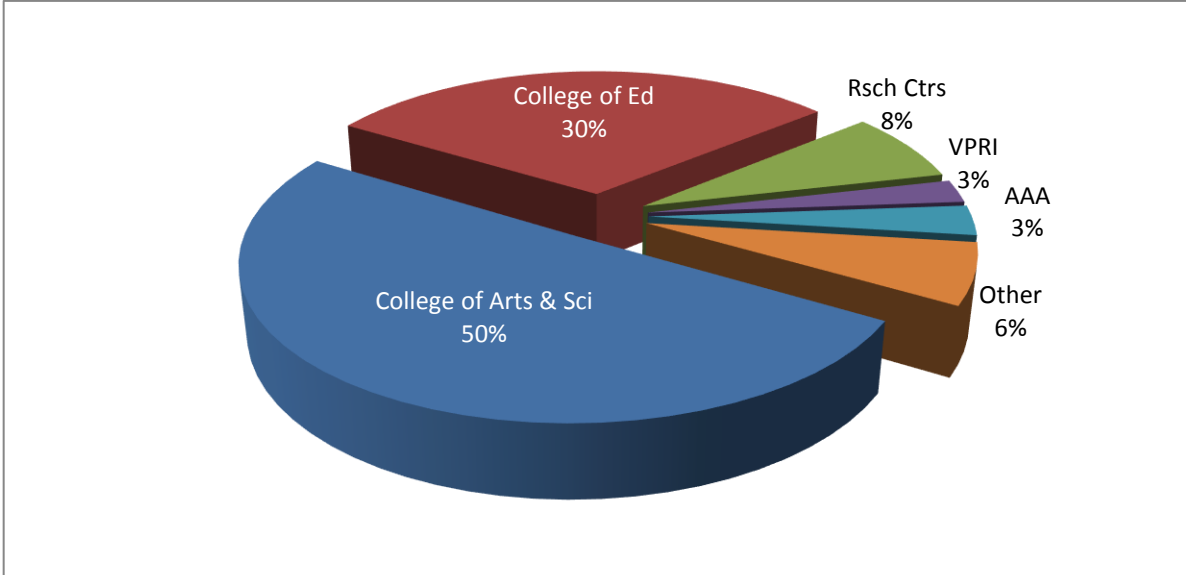


Figure 12 highlights awarded funding in FY16, a majority based in the UO's submitting colleges and schools, based awarded amounts

Table 2: Awards Received by PI Home Organization FY16

Architecture & Allied Arts, School		Education, College of		Music and Dance, School of	
AAA Architecture & Interior Arch	901,929.00	Ed BRT Behavioral Rsch & Teaching	4,040,622.00	SOMD Music	30,000.00
AAA Historic Presentation	176,142.00	Ed Center for Equity Promotion	1,178,641.00	Total	30,000.00
AAA Landscape Architecture	313,724.00	Ed COE General Operations	10,150.00	Rsch VPRI Office	
AAA Planning, Public Policy and Mgt	1,931,882.00	Ed CORE Ctr At Oregon For Res In Ed	75,685.00	Rsch Vice Pres Office Admin	2,813,442.00
AAA Portland Program Admin	265,781.87	Ed Counseling Psychology	727,465.00	Total	2,813,442.00
AAA Product Design	73,914.00	Ed Counseling, Family & Human Svcs	730,495.00	Rsch Centers & Institutes	
Total	3,663,372.87	Ed DESTINY Disability, EI, SSET	3,776,875.00	Rsch Ctr Brain Injury RschTmg CBIRT	943,937.30
Arts & Sciences, College of		Ed Early Childhood CARES	10,214,527.00	Rsch Ctr on Teaching & Learning	4,123,093.00
CAS AEI American English Institute	348,885.00	Ed ECS Educ and Community Supports	707,457.68	Rsch Inst Ecology & Evolution	130,466.00
CAS Anthropology	418,948.17	ED Education Studies	768,524.00	Rsch Inst of Molecular Biology	426,887.00
CAS Biology	22,130,053.29	Ed Family and Human Services	1,924,436.44	Rsch Institute of Neuroscience	682,416.05
CAS Chemistry	7,653,561.00	Ed intoCareers	45,000.00	Rsch ISE Inst Sustainable Environmt	407,752.75
CAS CIS Computer & Information Sci	2,446,337.00	Ed IVDB Inst Violence & Dstrctv Bhv	706,414.00	Rsch Material Science Institute	188,721.00
CAS East Asian Language Literature	122,840.00	Ed Methodology, Policy & Leadership	1,610,214.00	Rsch Neuroinformatics Center	1,200,000.00
CAS Economics	40,175.50	Ed School Psychology	965,533.00	Rsch NW Indian Language Institute	330,416.98
CAS English	6,000.00	Ed Special Education	7,987,164.55	Rsch Oregon Center for Optics	3,750.00
CAS Environmental Studies	331,130.00	Total	35,469,203.67	Rsch Oregon Inst of Marine Biology	65,091.00
CAS Geography	256,023.00	Graduate School		Rsch Prevention Science Institute	149,671.26
CAS Geological Science	2,782,617.00	Graduate School	437,000.00	Total	8,652,202.34
CAS History	967,355.00	Total	437,000.00	Rsch Core Services	
CAS Human Physiology HPHY	1,993,217.00	Honors College		Rsch Ctr Adv Mat Charact in OR	104,750.00
CAS International Studies	30,392.00	HC Operations	672,849.00	Total	104,750.00
CAS IT	133,000.00	Total	672,849.00	Senior VP & Provost	
CAS Linguistics	324,522.00	International Affairs		Acad Aff Bach Festival	38,221.00
CAS Mathematics	1,051,727.00	IA Ctr Applied Second Lang Studies	1,431,406.77	Acad Aff Labor Ed & Research Ctr	71,555.00
CAS Physics	10,405,513.33	International Affairs	1,151,486.00	Acad Aff Mus of Nat & Cult Hist	1,507,522.70
CAS Political Science	194,100.00	Total	2,582,892.77	Academic Extension	164,000.00
CAS Psychology	7,143,814.02	Journalism & Communicatn, School of		UGS Teaching & Learning Center	755,893.00
CAS Religious Studies	75,000.00	Journalism & Communicatn, School of	247,813.00	UGS Undergrad Studies Admin	12,000.00
CAS Women's Studies	39,244.00	Total	247,813.00	UR JSMA	86,080.00
Total	58,894,454.31	Law, School of		Total	2,635,271.70
Business, College of		Law, School of	477,485.00	VP Student Life	
Business, College of	216,166.00	Total	477,485.00	Career Center	18,037.00
Total	216,166.00	Library		EMU Erb Memorial Union	7,000.00
Campus Planning, Design & Constr		Library	13,672.00	Total	25,037.00
CPDC Sustainability	15,000.00	Total	13,672.00	Grand Total	116,950,611.66
Total	15,000.00				

Awards

Figure 13: Awards Received by Administered Unit FY16

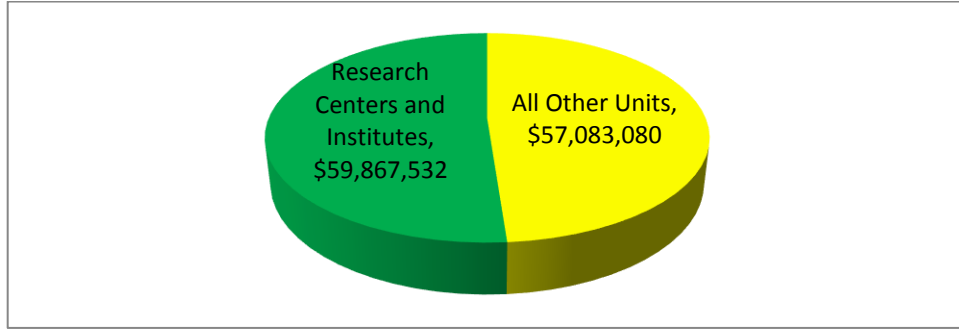


Figure 13 shows FY16 awards by the University's administering unit or college. Just over half (51%) of the funding was received by the Research Centers and Institutes

Table 3: Funding Administered by Research Center/Institute FY16

Research Center or Institute	FY16 Awards
Rsch Comm Adv of Women Chemists	421,307
Rsch Community Service Center	323,000
Rsch Ctr Brain Injry RschTrng CBIRT	943,937
Rsch Ctr High Energy Physics	1,666,666
Rsch Ctr on Teaching & Learning	6,581,970
Rsch Ctr Study of Women in Society	39,244
Rsch Environmentl Science Institute	316,794
Rsch Inst Ecology & Evolution	3,703,567
Rsch Inst of Molecular Biology	8,853,397
Rsch Inst of Theoretical Science	100,000
Rsch Institute of Neuroscience	13,156,739
Rsch ISE Inst Sustainable Environmt	706,477
Rsch Material Science Institute	8,680,247
Rsch Neuroinformatics Center	2,349,038
Rsch NW Indian Language Institute	330,417
Rsch Oregon Center for Optics	4,105,403
Rsch Oregon Inst of Marine Biology	308,607
Rsch Prevention Science Institute	7,280,722
Grand Total	59,867,532

Table 3 provides a breakdown of sponsored support awarded to the Research Centers and Institutes, a subset of the total funding awarded to UO

Expenditures

Figure 14: Sponsored Project Expenditures Across Fiscal Years ARRA Detail

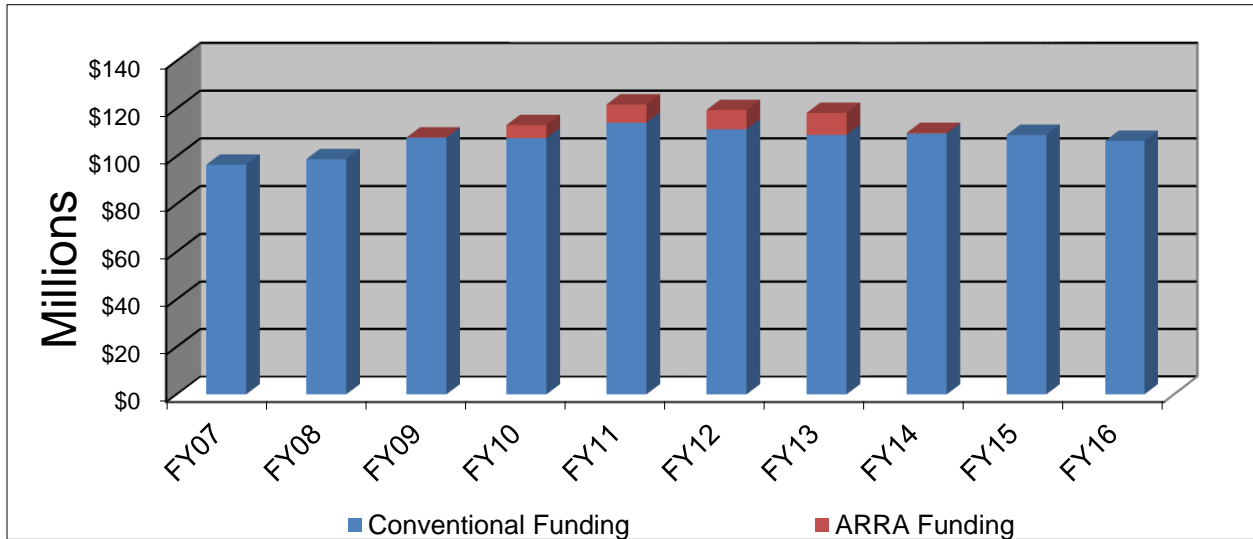


Figure 14 (above) provide a multi year perspective of total sponsored project expenditure levels and illustrate the steady rate of sponsored spending over the last 10 years

Figure 15: Total Direct Sponsored Expenditures by Cost Category FY16

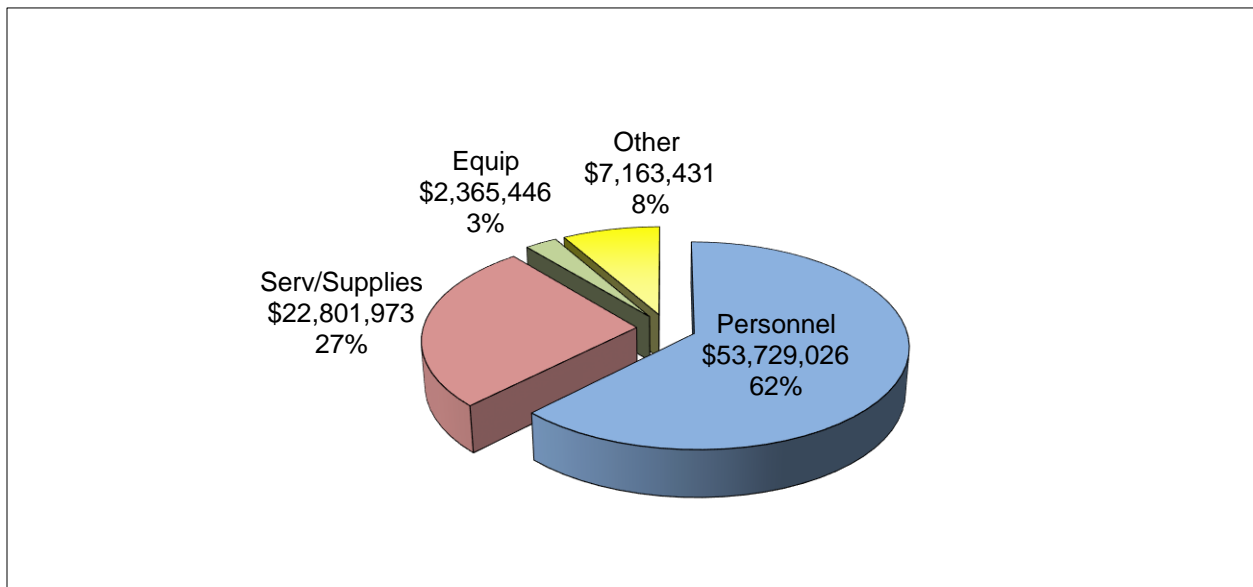


Figure 15 shows the breakdown of FY16 sponsored project total direct expenditures by Cost Category or Expenditure. This does not include indirect expenditures.

Expenditures

Figure 16: Total Project Expenditures by Activity Type FY16

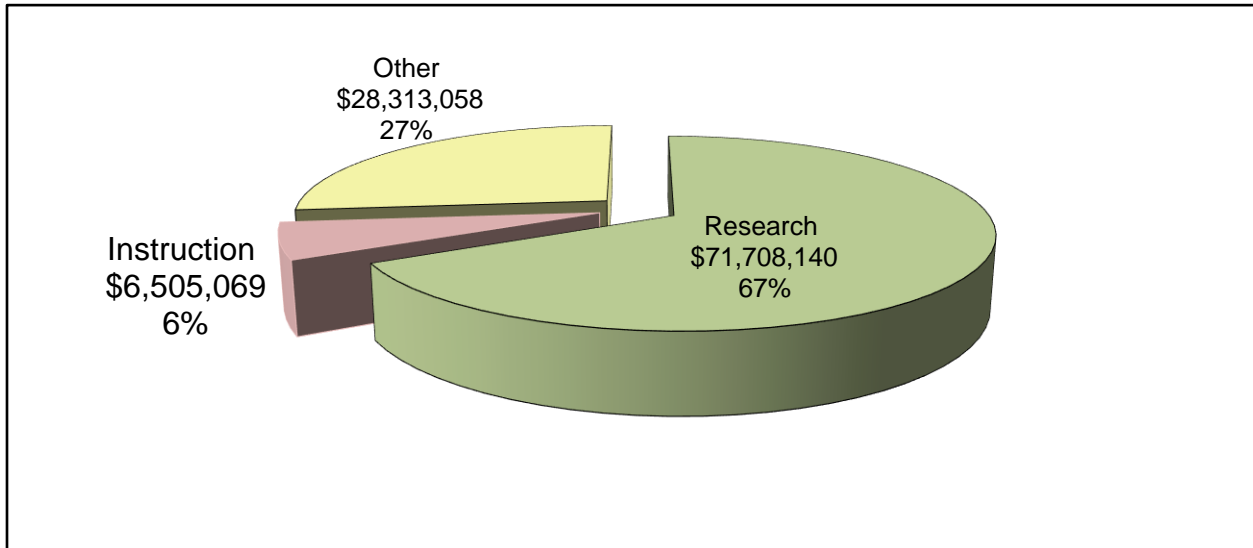


Figure 16 shows sponsored project total direct expenditures for FY16 across activity type

Facilities & Administrative Cost

Figure 17: Facilities & Administrative Cost Recovery Totals Across Fiscal Years

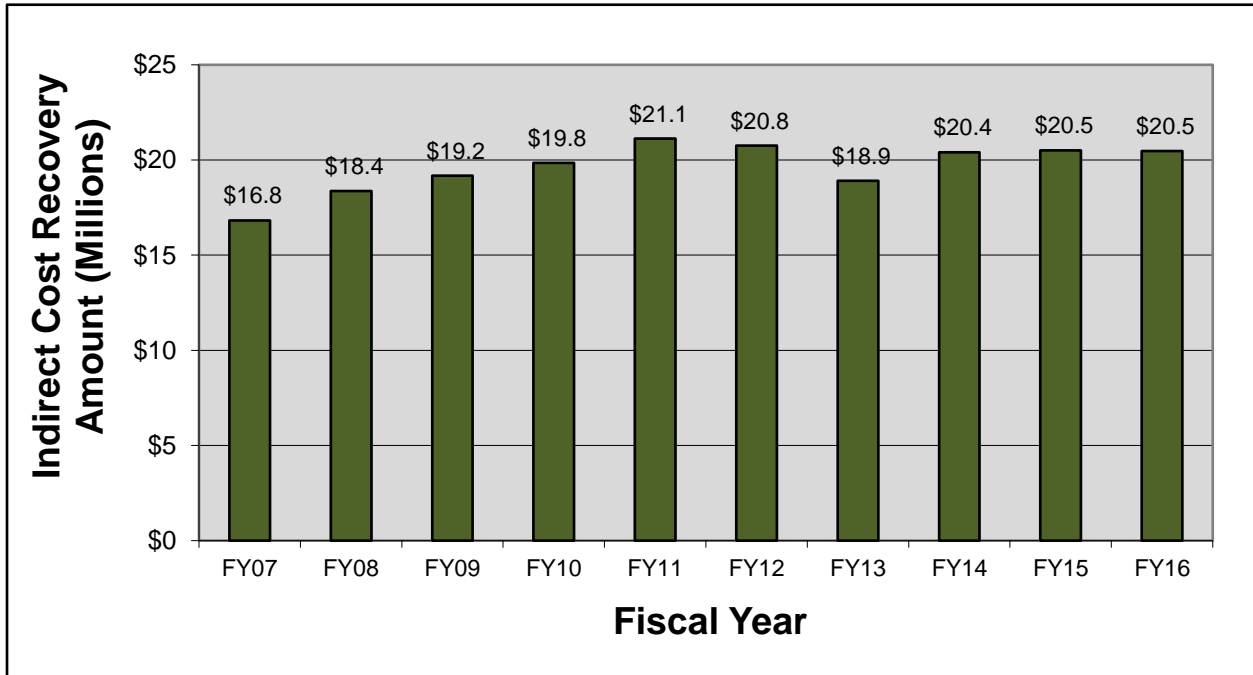


Figure 17 provides a ten-year perspective of incoming funds to the University through Facilities & Administrative Cost recovery on sponsored projects

Figure 18: Facilities & Administrative Recovery Allocation FY16

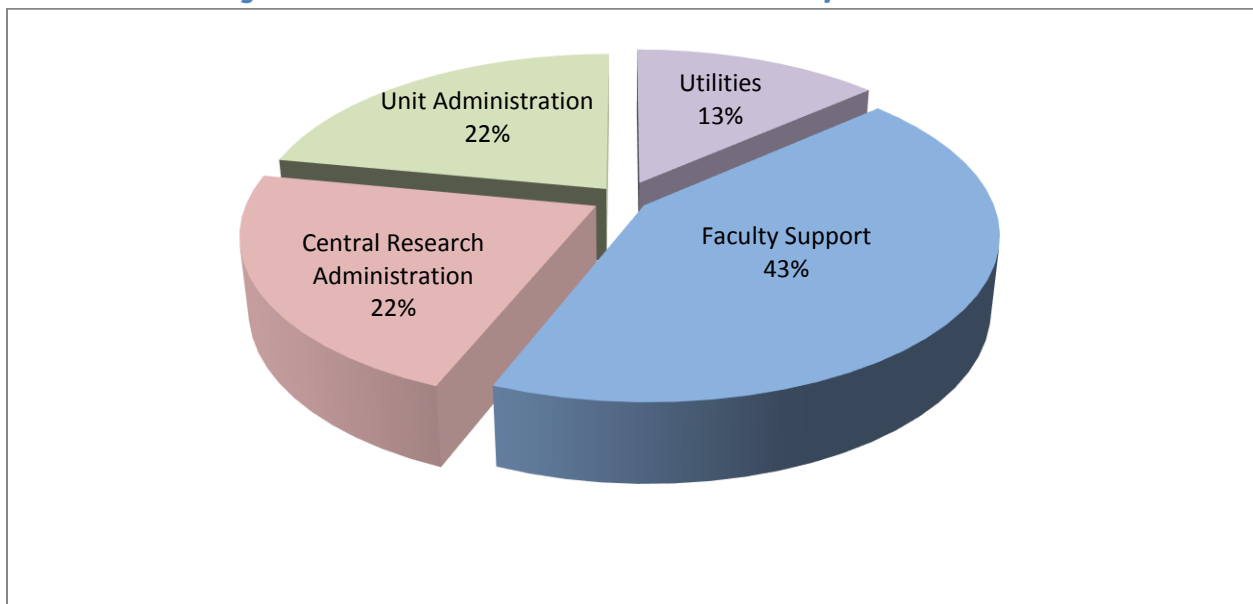


Figure 18 illustrates how F&A collected in FY16 was allocated in the FY17 beginning budget process