**RAP Form
Funding and Sponsorship**

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| **Purpose:** This form is designed to help facilitate review of protocols that are funded or sponsored by any source (external or internal). |

**Instructions:** Use this form when a study involving human subjects is funded/sponsored or an application for funding/sponsoring is in process. For each funding source, upload this form to the **Study Funding Sources** page of the New Study or Modification/CR activity within the IRB Module of the RAP.

If applicable, include a copy of the grant proposal(s) methodology portion specific to human subjects research.

* NIH Clinical Trial Reminder: If the research project is considered a clinical trial, the project needs to be registered on ([http://ClinicalTrials.gov](http://clinicaltrials.gov/)). If you have questions regarding whether the project is considered a clinical trial and is required to be registered, please contact your program officer at NIH.

Save this form to your computer before proceeding.

**General information for investigator’s reference (optional):**

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| --- | --- | --- | --- |
| Principal Investigator (PI): |       | Faculty Advisor: |       |
| Study Title: |       |

| 1. Funding and Sponsorship
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| * 1. Has this study been issued an Approval in Principle (AIP)?
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| [ ]  Yes [ ]  No | If “Yes”, do not open a new study in the RAP. Modify the study (AIP) by submitting a [modification application](https://research.uoregon.edu/sites/research2.uoregon.edu/files/2020-09/application_-_amendment_RAP.docx). |
| * 1. Is this award distributed from an external source (e.g. a source outside of the university)?
 |
|  [ ]  Yes [ ]  No |  |
| * 1. If funding is from an external source, is the award administered through Sponsored Project Services (SPS)?
 |
| [ ]  Yes [ ]  No | If “Yes”:1. Enter the EPCS number in the “Grants Office ID” field in the RAP [Study Funding Sources page of the RAP](https://research.uoregon.edu/sites/research2.uoregon.edu/files/2021-05/RAP%20Quick%20Reference%20-%20Study%20Funding%20Sources.pdf).
2. Who is the Principal Investigator listed on the above EPCS record?

      If “No”, please contact Patti Carey and Val Whelan at SPS to confirm the funding arrangement meets university requirements. Final approval will not be issued until SPS has provided this confirmation. |
| * 1. Is UO the primary awardee for the funding/sponsorship?
 |
| [ ]  Yes [ ]  No | If “No”, list primary awardee:  |       |
|  | If UO is receiving a sub-contract, list the agency supplying funding to the primary awardee institution:  |       |
| * 1. Are there subcontracts to institutions also participating in the human subjects research?
 |
| [ ]  Yes [ ]  No | If “Yes”, list sub-contractors:  |       |
| * 1. Does the study sponsor have any requirements or additional stipulations regarding IRB review (e.g., NIH requires single IRB review for some domestic, multi-site research studies conducting the same protocol)?
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| [ ]  Yes [ ]  No | If “Yes”, please explain in the textbox below:  |
|       |
| * 1. Does the study sponsor have any additional review and approval requirements or additional stipulations regarding human subject research (e.g., Department of Defense requires agency review of any sponsored research involving human subjects)?
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| [ ]  Yes [ ]  No | If “yes”, please explain in the textbox below and provide a link to any agency specific policy(ies):  |
|       |
| * 1. Are there any human subjects activities described in the funding/sponsorship that are not part of this IRB application?
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| [ ]  Yes [ ]  No | If “yes”, please explain in the textbox below: |
|       |
| * 1. Are there human subjects activities described as part of this protocol that are not covered under the funding/sponsorship?
 |
| [ ]  Yes [ ]  No | If “yes”, please explain in text box below:  |
|       |