**Exempt Category 2
EDUCATIONAL TESTS, SURVEYS, INTERVIEWS,
OBSERVATIONS OF PUBLIC BEHAVIOR**

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| * 1. Does the research include ONLY interactions involving the following?
* Educational tests (cognitive, diagnostic, aptitude, achievement), **OR**
* Survey procedures, **OR**
* Interview procedures, **AND/OR**
* Observation of *public behavior* (including visual or auditory recording) – Note that state laws (including Oregon law) may also affect your ability to record public behavior. If permission is required for observation, the setting may not be considered public)
 |
| [ ]  Yes | Describe the interactions:  |
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|  | If conducting observations of public behavior, describe the setting and how/why this is considered public: |
|  |       |
| [ ]  No | This research does not qualify for exemption under this category. |
| * 1. When the research involves children as participants, are the research activities limited to educational tests (cognitive, diagnostic, aptitude, achievement) or observation of public behavior where the investigator(s) will NOT participate in the activities being observed?
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| [ ]  Yes | Describe:  |
|  |       |
| [ ]  No | This research does not qualify for exemption under this category. |
| [ ]  N/A | Children are not involved as participants in this study. |
|  | Explain how you will ensure children do not participate in your research: |
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| **Directions for Next Steps:*** If you answered ‘yes’ to Question 1 and ‘yes’ or ‘n/a’ to Question 2, your project may qualify for exemption:
	+ Answer Question 3-7 below.
	+ Complete any additional category worksheets applicable to your research;
	+ Proceed with completing the Exempt Application Form;
	+ Submit the items noted in the [Exempt Submission Checklist](https://research.uoregon.edu/manage/research-integrity-compliance/human-subjects-research/human-subjects-applications-forms-guidance).
	+ If RCS determines the study does not qualify for exemption, you will need to prepare and submit a protocol using the Initial Review Application.

OR* If you answered ‘no’ to Question 1 or ‘no’ to Question 2, this research is not exempt under this category. Use the [exempt self-assessment tool](https://rcs.uoregon.edu/exempt-self-assessment-tool) or contact research compliance services (researchcompliance@uoregon.edu) to help you identify possible alternative categories for exemption. If no categories are applicable to your research, your study is not eligible for exemption. Proceed with preparing an Initial Review Application and other materials for non-exempt review.
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| * 1. Describe the type of information being obtained from participants. Consider providing specific examples if not providing a separate data collection document. *Note that additional information may be requested by the IRB if needed.*
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| * 1. Describe whether the information being obtained from participants will be recorded in such a manner that the identity of the human participants can readily be ascertained, directly or through identifiers linked to the participants.
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| * 1. Describe whether disclosure of the responses outside the research could place the participants at risk of criminal or civil liability or be damaging to the participants’ financial standing, employability, educational advancement, or reputation.
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| * 1. Describe any provisions to maintain the [privacy](https://research.uoregon.edu/sites/research2-stage.uoregon.edu/files/2020-01/HSR%20definitions.pdf) of participants.
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| * 1. Describe any provisions to maintain the [confidentiality](https://research.uoregon.edu/sites/research2-stage.uoregon.edu/files/2020-01/HSR%20definitions.pdf) of participant data. *Note*: The UO has specific standards regarding data security. Please visit the websites for information on [Data Security](https://service.uoregon.edu/TDClient/2030/Portal/KB/ArticleDet?ID=113454) and [Data Handling](https://service.uoregon.edu/TDClient/2030/Portal/KB/ArticleDet?ID=113954).
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