|  |
| --- |
| * 1. Does the research include ONLY interactions involving the following?
* Educational tests (cognitive, diagnostic, aptitude, achievement), **OR**
* Survey procedures, **OR**
* Interview procedures, **AND/OR**
* Observation of *public behavior* (including visual or auditory recording) – Note that state laws (including Oregon law) may also affect your ability to record public behavior. If permission is required for observation, the setting may not be considered public)
 |
| [ ]  Yes | Describe the interactions:  |
|  |       |
|  | If conducting observations of public behavior, describe the setting and how/why this is considered public: |
|  |       |
| [ ]  No | This research does not qualify for exemption under this category. |
| * 1. When the research involves children as participants, are the research activities limited to educational tests (cognitive, diagnostic, aptitude, achievement) or observation of public behavior where the investigator(s) will NOT participate in the activities being observed?
 |
| [ ]  Yes | Describe:  |
|  |       |
| [ ]  No | This research does not qualify for exemption under this category. |
| [ ]  n/a | Children are not involved as participants in this study. |
| * 1. Select the following condition(s) that apply to this research:
 |
| [ ]  | The information obtained is recorded in such a manner that the identity of the human subjects cannot readily be ascertained either directly or through identifiers linked to the subjects; |
|  | Explain: |
|  |       |
| [ ]  | Any disclosure of the human subjects’ responses outside the research would not reasonably place the subjects at risk of criminal or civil liability or be damaging to the subjects’ financial standing, employability, educational advancement, or reputation; |
|  | Explain: |
|  |       |
| [ ]  | Information obtained is recorded in such a manner that the identity of the human subjects can readily be ascertained, directly or through identifiers linked to the subjects but adequate provisions have been made to ensure that the data collected are appropriately monitored and secured to ensure the privacy of the subjects. |
|  | Describe provisions to protect privacy of **participants**: |
|  |       |
|  | Describe provisions to maintain confidentiality of **participant data**: |
|  |       |
| [ ]  | None of the above statements applies. |
| * If you answered ‘yes’ to Question 1, ‘yes’ or ‘n/a’ to Question 2, and were able to select an applicable statement for Question 3, your project likely qualifies for exemption:
	+ Complete any additional category worksheets applicable to your research;
	+ Proceed with completing Parts III-VI of the Exempt Application Form;
	+ Submit the items noted in the Submission Checklist at the end of the form to Research Compliance Services (RCS). RCS will review and verify the exempt determination;
	+ If RCS determines the study does not qualify for exemption, you will need to prepare and submit a protocol using the Initial Review Application.
* If you answered ‘no’ to Question 1, ‘no’ to Question 2, and/or were unable to select an applicable statement for Question 3, this research is not exempt under this category. Return to the screening form to identify alternative categories for exemption. If you conclude that no categories are applicable to your research, your study is not eligible for exemption. Proceed with preparing an Initial Review Application.
 |