**RAP IRB Reliance Request Form  
UO to Serve as Relying IRB**

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| **Purpose:** For collaborative and multi-site research, a reliance arrangement may be established in which one IRB defers oversight to another. Submit this form to request that the UO IRB defer their oversight obligations to another IRB who agrees to serve as the IRB of record for the study providing oversight for collaborating researchers and any activities that occur at collaborating sites. |

**Instructions:** Use this form to request a reliance arrangement in which the University of Oregon IRB agrees to defer oversight to another IRB of record. Attach this form with any solicited attachments and supporting materials in a New Study submission in the RAP. Direct any questions regarding this form or human subjects research to Research Compliance Services (RCS) by [email](mailto:ResearchCompliance@uoregon.edu) or phone (541-346-2510). Save this form before proceeding.

**General information for investigator’s reference (optional):**

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| Principal  Investigator (PI): |  | Faculty Advisor: |  |
| Study Title: |  | | |

| 1. Funding | |
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| * 1. If this research is funded (current or anticipated), is this reliance request being submitted to secure preliminary agreement for the UO IRB to rely on another IRB of record for a sponsored research application? | | |
| Yes  No  n/a | If “Yes”, briefly explain the sponsor requirements: | |
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| 1. Reviewing IRB Information | | | |
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| IRB Contact Name: |  | IRB Contact Phone: |  |
| Protocol Title: |  | | |

| 1. Proposed Reliance Arrangement |
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| * 1. Provide justification for the request to have the UO IRB serve as the relying IRB (check all that apply) | |
|  | Majority of research activities will be performed at a non-UO institution/site with a current FWA and IRB registration. |
|  | Majority of research activities will be conducted or led by non-UO researchers |
|  | The proposed reviewing IRB is more properly positioned to oversee this research. Explain: |
|  | This is a collaborative study and all institutions are deferring to one approving IRB |
|  | This is a multi-site study (i.e., the same activities occur at each site) and all sites are deferring to one approving IRB |
|  | Other - Please provide details: |

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| * 1. Provide an overview of the research collaboration and the role of each institution. Explain the role of the UO research personnel and describe any research activities that will take place locally. |

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| * 1. After reviewing the Principal Investigator Responsibilities for Multi-Site Research (see below), explain how the UO PI will fulfill the obligations as a Relying Site Investigator for the local conduct of the research. |

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| * 1. Have all UO research personnel and any activities taking place at UO been approved by the reviewing IRB? | |
| Yes  No | If “No”, briefly explain: |
| * 1. Attach any materials approved or currently under review by the reviewing IRB related to this request. | |
| Attached | N/A, explain: |

| 1. UO Principal Investigator Responsibilities as a Relying Site in Collaborative and Multi-Site Research |
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| 1. The UO Investigator is responsible for securing and maintaining a reliance arrangement prior to engaging in human subject research activities. This includes:    1. Submitting a reliance request to RCS and/or the UO IRB to initiate the reliance review and approval process for UO to rely on an external IRB.    2. Supporting the UO institutional review and approval by providing or facilitating access to protocol materials used by the relied upon site to secure approval.    3. Providing information to RCS, UO IRB and federal regulators as requested during the protocol review and approval process and throughout the conduct of the research while UO is relying on another IRB of record 2. The UO Investigator is responsible for the oversight of any research activities and study personnel at UO. This includes:    1. Conducting the research in accordance with the approved protocol and using the approved materials.    2. Ensuring that the lead PI has secured approval for any changes prior to implementing any changes to the research activities locally.    3. Fulfilling obligations as a site PI per the terms of the reliance arrangement. 3. The UO Investigator is responsible for ensuring local compliance with the IRB approved protocol and with UO institutional requirements. This includes:    1. Ensuring no individuals at the UO engaged in human subject research activities until documentation of an approved protocol listing those individuals is received.    2. Informing the lead PI promptly of any reportable new events and supporting submission of the report to the relied upon IRB. Ensuring RCS is notified of events to ensure RCS is positioned to support the local mitigation of the event and any requests to contribute to the review by the relied upon IRB.    3. Submitting any required progress reports and documentation of continued approval from the relied upon IRB to secure continued UO institutional approval for the conduct of the research locally.    4. Ensuring any ancillary reviews and/or other institutional requirements pertaining to the conduct of this research are fulfilled prior to the implementation of human subject activities at the relying site. | |